PTO/SB/21 (09-04)

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|--|--|-------------------|--|--|---|
| | Application Number | 10/674, | 937 | | 1 |
| TRANSMITTAL | Filing Date | Septem | ber 30, 200 | 3 | |
| FORM | First Named Inventor | Sorrells | et al. | | |
| | Art Unit | 2857 | | | |
| (to be used for all correspondence after in | Examiner Name | Donald | E. McElhen | y, Jr. | |
| Total Number of Pages in This Submission | Attorney Docket Number | 35296.3 | 3 | - | _ |
| | | <u> </u> | | | _ |
| | ENCLOSURES (Check a | I that apply | | Allowance Communication to TC | _ |
| Fee Transmittal Form Fee Attached | Drawing(s) Licensing-related Papers | | Appea | al Communication to Board peals and Interferences | |
| Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.5 | Landscape Table on C | Address | Appea (Appea (Appea) Propri Status Other below Request for Pursuant to Transmittal | al Communication to TC al Notice, Brief, Reply Brief) detary Information is Letter Enclosure(s) (please Identify | |
| SIGN Firm Name | NATURE OF APPLICANT, ATTO | RNEY, O | R AGENT | | _ |
| Haynes and Boon | e LLP | | | | |
| Signature honell s | Enfler | | | | |
| Printed name Priscilla L. Fergus | 71 | ·, | | | |
| Date Nov. 16, 20 | Q5 | Reg. No. | 42,531 | | |
| | | | | | _ |
| | CERTIFICATE OF TRANSMISS | ION/MAI | LING | | _ |
| I hereby certify that this correspondence is sufficient postage as first class mail in an the date shown below: | | | | | |
| Signature | Janlan | | | | |
| Typed or printed name Ell | Invelore | | Date | 11/16/2005 | |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Under the Panerwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Effective on 12/08/2004. Complete if Known Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). Application Number 10/674,937 Filing Date September 30, 2003 For FY 2005 First Named Inventor Sorrells et al. **Examiner Name** Donald E. McElheny, Jr. Applicant claims small entity status. See 37 CFR 1.27 Art Unit 2857 TOTAL AMOUNT OF PAYMENT (\$) 3,670.00Attorney Docket No. 35296.3 METHOD OF PAYMENT (check all that apply) Check Credit Card Money Order None Other (please identify): Deposit Account Deposit Account Number: 08-1394 Deposit Account Name: Haynes and Boone, LLP For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) Credit any overpayments under 37 CFR 1.16 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES SEARCH FEES EXAMINATION FEES Small Entity** Small Entity Small Entity **Application Type** Fee (\$) Fees Paid (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 500 250 200 100 Design 200 100 100 50 130 65 Plant 200 100 300 160 150 80 Reissue 300 500 600 150 250 300 Provisional 200 100 0 0 0 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee Description Fee (\$) 50 Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent 25 Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100 180 Multiple dependent claims **Total Claims Multiple Dependent Claims Extra Claims** Fee Paid (\$) - 20 or HP = Fee (\$) Fee Paid (\$) HP = highest number of total claims paid for, if greater than 20 Indep. Claims Extra Claims & Fee (\$) Fee Paid (\$) - 3 or HP = HP = highest number of independent claims paid for, if greater than 3 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Number of each additional 50 or fraction thereof **Total Sheets Extra Sheets** Fee (\$) Fee Paid (\$) - 100 = _ (round up to a whole number) x 0 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Other: Issue Fee and advance order \$2,030.00; fee deficiency \$1,640.00 \$3,670.00 SUBMITTED BY Registration No. 42,531 Signature 214-651-5662 Name (Print/Type) Priscilla L. Ferguson 16

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Attorney Docket No. 35296.3 Customer No. 000027683

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE re application of: Sorrells et al. Serial No.: 10/674,937 Filed: September 30, 2003 For: Method and System for Determining the Orientation of Natural Fractures Serial No.: 10/674,937 Examiner: Donald E. McElheny, Jr.

REQUEST FOR CHANGE IN ENTITY STATUS PURSUANT TO 37 CFR §1.28(c)

Mail Stop Issue Fee Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450 I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on 11/16/2006

Signature of person mailing paper and fee

Ellen Lovelance

Dear Sir:

The above-identified application was filed with a claim to small entity status on April 21, 2005. Subsequent to the filing of this application, the undersigned attorneys for the Applicants discovered that entitlement to small entity status in this application is uncertain, and therefore, pursuant to MPEP §509.03, small entity status should not be claimed in this application.

Accordingly, Applicants respectfully submit the present paper as the separate submission required by 37 CFR §1.28(c)(1).

In fulfillment of the requirements of 37 CFR §1.28(c)(2), a check in payment of the total amount of fee deficiency is enclosed, which fee deficiency can be itemized as follows:

11/22/2005 EFLORES 00000075 10674937

| 01 FC:1001 | 790.00 DP |
|------------|-----------|
| 02 FC:1201 | 200.00 OP |
| 03 FC:1202 | 100.00 OP |
| 04 FC:1051 | 130.00 DP |
| 05 FC:1252 | 450.00 OP |
| 06 FC:1801 | 790.00 OP |
| 07 FC:1461 | 585.00 OP |

| ITEMIZA | TION OF DEFICIEN | CY PAYMENT | |
|--|------------------------------------|---|--------------------|
| Type of fee paid as small entity | Current fee for a non-small entity | Small entity fee paid (Date paid) | Deficiency owed |
| Basic fee | 300.00 | 375.00 | 0.00 |
| (37 CFR 1.16(a)(1)) | | (Sept. 30, 2003) | |
| Search Fee | 500.00 | 0 | 500.00 |
| (37 CFR 1.16(k)) | · | (fee not in effect at the time the application was filed) | |
| Examination Fee | 200.00 | 0 | 200.00 |
| (37 CFR 1.16(o)) | | (fee not in effect at the time the application was filed) | |
| Extra independent claim (One) | 200.00 | 42.00 | 158.00 |
| (37 CFR 1.16(h)) | | (Sept. 30, 2003) | |
| Extra total claims (Two) | 2 X 50 = 100.00 | 18.00 | 82.00 |
| (37 CFR 1.16(h)) | | (Sept. 30, 2003) | |
| Surcharge for late filing of | 130.00 | 65.00 | 65.00 |
| declaration (missing parts) (37 CFR 1.16(f)) | | (April 20, 2004) | |
| Extension of Time for response | 450.00 | 210.00 | 240.00 |
| within second month | | (April 20, 2004) | |
| (37 CFR 1.17(a)(2)) | | | |
| Request for Continued | 790.00 | 395.00 | 395.00 |
| Examination | | (July 7, 2005) | |
| (37 CFR 1.17(e)) | | | |
| | TOTAL 1 | DEFICIENCY OWED: | \$1,640.00 |

A separate submission regarding this application, namely, an Issue Fee Transmittal is also enclosed. A separate check in payment of large entity fees due for the Issue Fee is also enclosed. Accordingly, Applicants submit that no deficiency payments, other than those listed above, are due for this application.

In view of the foregoing, and the enclosed deficiency payment, Applicants respectfully request that the apparent error in the claim of small entity status in this application be excused, and that this application be accorded large entity status.

The Commissioner is hereby authorized to charge any deficiencies in fees or credit any overpayment to the Deposit Account of Haynes and Boone, LLP – 08-1394. This form is submitted in duplicate.

Respectfully submitted,

Priscilla L. Ferguson

Registration No. 42,531

Date: Nov. 16 2005

HAYNES AND BOONE, LLP 901 Main Street, Suite 3100 Dallas, Texas 75202

Telephone: (214) 651-5662 Facsimile: (214) 200-0853

File: 35296.3